System Name	Status	Audit Allocation	Total Days Used	Exception Issues	Audit Opinion	No. of Recs	۲	No. Agreed	Medium	Agreed	-	No. Agreed	Total Not Agreed
		Audit	Total Used			Ö.	High	No.	Mec	Š	Low	9	Agr
JOINT	l				I	1							
Brown Bins (2)	2011/2012	14	0	Postponed at the request of Head of Corporate Strategy. Action plan currently being implemented, which is monitored by the RSSP Strategic Board.		N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SODC													
VWHDC													
Capital Accounting (1)	Final Issued	16	15										
SODC					Satisfactory	8	0	0	2	2	6	6	0
VWHDC					Satisfactory	2	0	0	1	1	1	1	0
Council Tax (1)	Final Issued	20	20										
SODC					Satisfactory	6	0	0	1	1	5	5	0
VWHDC					Satisfactory	5	0	0	1	1	4	4	0
Creditor Payments (1)	Final Issued	30	30										
SODC					Satisfactory	6	0	0	1	1	5	5	0
VWHDC					Satisfactory	5	0	0	1	1	4	4	0
Equalities and Diversity Strategy (2)	Final Issued	16	16			t			1		_	<u> </u>	_
SODC					Satisfactory	3	1	0	1	1	2	2	0
VWHDC					Satisfactory	4	0	0	1	1	3	3	0
General Ledger (1)	Final Issued	20	19						<u> </u> _	_			_
SODC					Limited	15	0	0	5	5	10	3	⁷
VWHDC					Satisfactory	10	0	0	5	5	5	4	1
Health & Safety (2)	Final Issued	20	25.5							l	_	_	
SODC					Limited	12	1	1	6	5	5	5	0
VWHDC					Limited	14	1	1	6	6	<u>'</u>		U
Housing & Council Tax Benefits Annual Audit (1)	Final Issued	30	32						0	0	2	_	
SODC			ŀ		Satisfactory	2	0	0	0	0	3	3	6
VWHDC		ļ	10.5		Satisfactory	3	0	0	· -	10	13	3	
Housing & Council Tax Benefits Overpayments and Recovery Follow-Up (1)	Final Issued	14	18.5										
SODC					Limited	7	1	1	3	2	3	3	1
VWHDC					Limited	5	1	1	2	1	2	2	1
HR Grievance Procedure (3)	Final Issued	20	10			1		l					
SODC					Full	2	0	0	0	0	2	2	0
VWHDC			1		Full	3	0	0	0	0	3	3	0
HR Recruitment (1)	Final Issued	20	23										
SODC					Satisfactory	4	0	0	3	1	1	1	2
vwhdc	1				Satisfactory	6	0	0	3	1	3	3	2

SODC SAME Satisfactory Same Satisfactory	ICT (2)	Final Issued	20	36	28 days to auditor who did not complete probation period. Audit									
March Marc								3						
Company Comp	SODO				*	Satisfactory	5	0	0	3	3	2	2	o
NAME						_	1	1	1	4	4	3	3	0
Work in this area. Work in		Pemoved	20	0	External audit will be undertaking		N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
WHDC	International Financial Reporting Standards (1)	Removed												
Final Issued Sobo	SODC													
SODC WHTDC Final Issued 20 19.5 19.5 20 20 20 20 20 20 20 2	VWHDC						İ		<u> </u>		↓		ļ	
SOC WHIDC NDR (1) SODC WHOLD Final Issued Payoril (1) SODC WHOLD Final Issued Payoril (1) SODC Satisfactory Satisfac	Leisure Centres (2)	Final Issued	30	38.5	New auditor									_
WHTIC Limited 9 5 5 5 2 2 2 2 0 0 NNDR (1) SODC WHDC Final Issued SODC WHDC Fi	SODC			ł		Limited	9	4	4	1	4	1	1	0
NDR (1) SODC VWHDC Final Issued SODC VWHDC Final Issued SODC Payroll (1) SODC Reproductive Anti-Fraud Review (1) Sobc Sobc Sobc Sobc Sobc Sobc Sobc Sobc	VWHDC				SOLL Leisure	Limited	7	4	1	1	2	1	1	1
SODC Satisfactory					WHTLC	Limited	9	5	5	2	2	2	2	0
SODC VWHDC Satisfactory 1 Satisfactory 2 Satisfactory 1 Satisfactory 3 Satisfactory 4 Satisfactory 5 Satisfactory 4 SATISM A	NNDR (1)	Final Issued	20	19.5										
Payoli (1) Pay]		Satisfactory	2	0			2	0	١	1
Limited 17	VWHDC					Satisfactory	1	0	0	1	1	0	0	0
SODC	Payroll (1)	Final Issued	20	20										
Pro-Active Anti-Fraud Review (1) Final Issued 30 16 Satisfactory 1 0 0 0 1 1 0 0 0 0	SODC					Limited	17	1	1		1	1	1	1
SODC Satisfactory	VWHDC					Limited	18	1	1	7	7	10	10	0
SODC VWHDC No Project Management (2) No Progress 30 No Project Management (2) Satisfactory Satisfa	Pro-Active Anti-Fraud Review (1)	Final Issued	30	16										
WHDC Satisfactory 3 0 0 3 3 0 1 1 3 3 0 0 0 1 1 3 3 0 0 0 1 1 3 3 0 0 0 1 1 3 3 0 0 0 1 1 3 3 0 0 0 1 1 3 3 0 0 0 1 1 3 3 0 0 0 1 1 3 3 0 0 0 0 0 0 0 0 0 0	SODC					1	1	1	0		1	0	ľ	1
SODC WHDC Final Issued SODC WHDC SAtisfactory SODC WHDC SAtisfactory SODC WHDC SATISFACTOR SODC SATISFACTOR SATIS						Satisfactory	3	0	0	3	3	0	0	0
VWHDC Sundry Debtors (1) Final Issued 30 20 Satisfactory Satisfactory 4 0 0 1 1 3 3 0 SODC WHDC Final Issued 20 17 Satisfactory Satisfactory 4 0 0 1 1 3 3 0 SODC WHDC Satisfactory Satisfactory 4 0 0 4 4 0 0 4 0	Project Management (2)	In Progress	30							ŀ		1		
Sundry Debtors (1) SODC Satisfactory Satisf	SODC			İ	1			Ì						
SODC WHDC Final Issued 20 17 Satisfactory 4 0 0 0 1 1 3 3 3 0	VWHDC							<u> </u>						
SODC VWHDC Satisfactory 4 0 0 1 1 3 3 0 Time Management Across SODC/WHDC (2) SODC WHDC Final Issued 20 17 Satisfactory Satisfactory 4 0 0 4 4 0<	Sundry Debtors (1)	Final Issued	30	20				l			1			
Time Management Across SODC/VWHDC (2) SODC VWHDC Travelling & Subsistence Expenses (2) VWHDC Treasury Management (1) SODC VWHDC Treasury Management (1) SODC VWHDC Treasury Management (1) SODC VWHDC Treasury Management (2) SoDC VWHDC Treasury Management (3) SODC VWHDC Treasury Management (1) SoDC Treasury Management (1) S	la control de la					Satisfactory	4	1	0	1	1	3	١	1
SODC VWHDC Satisfactory Sati	VWHDC					Satisfactory	4	0	0	1	1	3	3	0
SODC VWHDC Satisfactory Sati	Time Management Across SODC/VWHDC (2)	Final Issued	20	17						Ė				
Travelling & Subsistence Expenses (2) SODC VWHDC Treasury Management (1) SODC VWHDC Final Issued 14 21 Auditor performance Sodisfactory Satisfactory Satisfactory 6 0 0 2 2 2 4 4 0 0 0 2 2 2 4 4 0 0 0 0 0	SODC					Satisfactory	4	0	0	4	4	0	0	1
SODC VWHDC Limited 15 1 1 8 8 6 6 0	VWHDC					Satisfactory	4	0	0	4	4	0	0	0
VWHDC Treasury Management (1) SODC VWHDC Final Issued 14 21 Auditor performance Satisfactory VWHDC Satisfactory Satisf	Travelling & Subsistence Expenses (2)	Final Issued	20	19									1	
Treasury Management (1) SODC VWHDC Satisfactory Satisfac	SODC					Limited	l l	1	1	1	1	ľ	6	1
SODC VWHDC Satisfactory 4 0 0 2 2 2 2 0	VWHDC					Limited	15	1	1	8	8	6	6	0
SODC VWHDC Satisfactory Satisfactory 4 6 0 0 0 2 2 2 2 4 4 0 4 0 2 0 2 0 4 0 4 0 2 0 2 Changes have been made to performance indicators in 2010/2011. N/A N	Treasury Management (1)	Final Issued	14	21	Auditor performance									1_
Verification of National Indicators (2) 20 1 Changes have been made to performance indicators in 2010/2011. SODC	1					Satisfactory	4	0	ľ			1	2	ľ
performance indicators in 2010/2011.	VWHDC							1 "					4	<u> </u>
	Verification of National Indicators (2)	2011/2012	20	0	performance indicators in		N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	SODC											1		
	VWHDC	İ							1		l_			

SODC		_		Aller The Control of the Control of					T		1	т	T.
Cash Office (2)	Final Issued	8	6		Satisfactory	4	0	0	0	0	4	3	1
Cornerstone - Emergency Planning, Disaster Recovery, Business Continuity Planning (2)	Final Issued	8	8		Satisfactory	7	0	0	2	2	5	5	0
Fit for The Future Implementation Review (3)	Removed	10	0	Not deemed necessary by MT.	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Leader Project Assurance (2)	Final Issued	10	10		Satisfactory	6	0		0	0	6	6	0
Leader Project Grant Verification (2)	Final Issued	3	3	Incorporated in Leader Project Assurance	See Above	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Pest Control (3)	Final Issued	5	5		Limited	5	3	2	1	1	1	1	1
VWHDC						T		,				1	T
Car Loans (2)	Final Issued	10	10		Satisfactory	10	3	2	3	3	4	2	3
Handling of Postal Cash and Cheques (2)	Final Issued	7	7		Limited	2	2	2	0	0	0	0	0
Licensing (2)	Final Issued	10	13.5		Satisfactory	4	0	0	1	1	3	2	1
Mortgages Administration (2)	Final Issued	7	4		Limited	6	2	2	3	3	1	1	0
Stray Dogs Contract (3)	Final Issued	10	8.5		Satisfactory	3	1	1	2	22	0	0	0
IA PLANNED AUDIT TOTALS		582	491	Full Satisfactory Limited Nil	30 16	305	33	30	121	114	151	139	22
SODC PLANNED AUDIT TOTALS		291	240	Full Satisfactory Limited Nil	15 7	148	11			54	79	71	13
VWHDC PLANNED AUDIT TOTALS		291	251	Full Satisfactory Limited Nil	15 9	157	22	20	63	60	72	68	9

FOLLOW UP AUDITS 2009/2010

System Name	Total Days Used	Original Audit Opinion Issued	Total No. of Recs Agreed	mplemented	Partly mplemented	Not Implemented	Ongoing
			S. British A.	ם	Partly	Not	5.0
SODC	L		58 4 58 Ek		1		1 -
VFM from CCTV Contract Arrangements 09/10	0.5	Satisfactory	5	4	0	0	1
Records Management 09/10	0.5	Limited	8	3	1	4	0
Temporary Accommodation 09/10	0.5	Satisfactory	6	4	0	0	2
Partnership Performance Monitoring 09/10	0.5	Satisfactory	6	3	0	1	2
Contract Monitoring 08/09	0.5	Satisfactory	4	3	1	0	0
Facilities Management 09/10	0.5	Full	1	1	0	0	0
Focus Group Payments 08/09	0.5	Limited	7	7	0	0	0
Cornerstones Membership 09/10	0.5	Satisfactory	4	4	0	0	0
VFM in Procurement 09/10	0.5	Satisfactory	9	3	0	6	0
Information Governance 09/10	0.5	Satisfactory	7	2	0	4	1
Stock Control 08/09	0.5	Satisfactory	12	12	0	0	0
Verification of National Indicators 09/10	0.5	Satisfactory	10	8	0	2	0
VWHDC							
VFM from CCTV Contract Arrangements 09/10	0.5	Satisfactory	11	7	0	1	3
Rent Accounting 09/10	0.5	Satisfactory	12	7	1	4	0
Lone Working/ Officer Security 09/10	0.5	Satisfactory	8	5	1	2	0
Contract Monitoring 08/09	0.5	Limited	5	2	0	3	0
Oxfordshire Waste Partnership 08/09	0.5	Satisfactory	5	4	1	0	0
Partnership Performance Monitoring 09/10	0.5	Satisfactory	6	3	0	1	2
Records Management 09/10	0.5	Limited	11	1	1	9	0
Corporate Administration 09/10	0.5	Satisfactory	6	4	0	1	1
Business Continuty 08/09	0.5	Satisfactory	10	7	0	3	0
VFM in Procurement 09/10	0.5	Limited	15	8	0	7	0
Information Governance 09/10	0.5	Satisfactory	6	1	0	4	1
Stock Control 08/09	0.5	Satisfactory	9	7	0	2	0
Verification of National Indicators 09/10	0.5	Satisfactory	8	7	0	1	0
IA FOLLOW UP 09/10 TOTALS	11.5		112	117	6	55	13
SODC FOLLOW UP 09/10 TOTALS	6.0		79	54	2	17	6
VWHDC FOLLOW UP 09/10 TOTALS	6.5		112	63	4	38	7

FOLLOW UP AUDITS 2010/2011

	Total Days Used	Original Audit Opinion Issued	Total No. of Recs Agreed	Implemented	Partly Implemented	Not Implemented	Ongoing
SODC							
Cash Office 10/11	0.5	Satisfactory	3	2	1	0	0
VWHDC							
Handling of Postal Cash and Cheques 10/11	0.5	Limited	2	1	1	0	0
IA FOLLOW UP 10/11 TOTALS	1	25 STATE OF THE ST	5	3	2	0	0
SODC FOLLOW UP 10/11 TOTALS	0.5	3 3 3 3	3	2	1	0	0
VWHDC FOLLOW UP 10/11 TOTALS	0.5		2	1	1	0	0

UNPLANNED WORK 2010/2011

CONSULTANCY

System Name	Status	Audit Allocation	Total Days Used	Requested By
JOINT				
Sundry Debtors	Allocated to SD Audit	5	5.5	Steve Bishop, s151 Officer
SODC				
None	-	-	-	
VWHDC				
None	-	-	-	-

CONTINGENCY

System Name	Status	Audit Allocation	Total Days Used	Requested By
JOINT				
None	-	•	-	-
SODC				
None	-	-	-	-
VWHDC				
DWP: Customer Information Systems, Breach of Security, Capita	Diciplinary Hearing resulted in dismissal of Capita Employee		6.5	Steve Bishop, s151 Officer
Defra Property Flood Protection: Resilience Grant Verification	Requested	1	1	Peter Dela, Principal Engineer
Anti Fraud & Corruption Whistleblowing Policy: Taxi Licensing Investigation	Requested	5	6	Margarat Reed, Head of Legal & Democratic Steve Bishop, s151 Officer

SYSTEM DEVELOPMENT

System Name	Status	Audit Allocation	Total Days Used	Requested By
JOINT				
None	-	-	_	-
SODC				
None	-	-	-	-
VWHDC				
None	-	-	-	-
IA UNPLANNED WORK TOTALS		16	19	
SODC UNPLANNED WORK TOTALS		5	5.5	
INVIDE LINE ANDED MODIZ TOTALS		4.4	42 E	

SODC TOTAL AUDIT WORK 252 days VWHDC TOTAL AUDIT WORK 271.5 days