

PLANNED AUDITS 2010/2011

APPENDIX 1

System Name	Status	Audit Allocation	Total Days Used	Exception Issues	Audit Opinion	No. of Recs	High	No. Agreed	Medium	No. Agreed	Low	No. Agreed	Total Not Agreed
JOINT													
Brown Bins (2) SODC VWHDC	2011/2012	14	0	Postponed at the request of Head of Corporate Strategy. Action plan currently being implemented, which is monitored by the RSSP Strategic Board.	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Capital Accounting (1) SODC VWHDC	Final Issued	16	15		Satisfactory Satisfactory	8 2	0 0	0 0	2 1	2 1	6 1	6 1	0 0
Council Tax (1) SODC VWHDC	Final Issued	20	20		Satisfactory Satisfactory	6 5	0 0	0 0	1 1	1 1	5 4	5 4	0 0
Creditor Payments (1) SODC VWHDC	Final Issued	30	30		Satisfactory Satisfactory	6 5	0 0	0 0	1 1	1 1	5 4	5 4	0 0
Equalities and Diversity Strategy (2) SODC VWHDC	Final Issued	16	16		Satisfactory Satisfactory	3 4	0 0	0 0	1 1	1 1	2 3	2 3	0 0
General Ledger (1) SODC VWHDC	Final Issued	20	19		Limited Satisfactory	15 10	0 0	0 0	5 5	5 5	10 5	3 4	7 1
Health & Safety (2) SODC VWHDC	Final Issued	20	25.5		Limited Limited	12 14	1 1	1 1	6 6	5 6	5 7	5 7	1 0
Housing & Council Tax Benefits Annual Audit (1) SODC VWHDC	Final Issued	30	32		Satisfactory Satisfactory	2 3	0 0	0 0	0 0	0 0	2 3	2 3	0 0
Housing & Council Tax Benefits Overpayments and Recovery Follow-Up (1) SODC VWHDC	Final Issued	14	18.5		Limited Limited	7 5	1 1	1 1	3 2	2 1	3 2	3 2	1 1
HR Grievance Procedure (3) SODC VWHDC	Final Issued	20	10		Full Full	2 3	0 0	0 0	0 0	0 0	2 3	2 3	0 0
HR Recruitment (1) SODC VWHDC	Final Issued	20	23		Satisfactory Satisfactory	4 6	0 0	0 0	3 3	1 1	1 3	1 3	2 2

FOLLOW UP AUDITS 2009/2010

System Name	Total Days Used	Original Audit Opinion Issued	Total No. of Recs Agreed				
				Implemented	Partly Implemented	Not Implemented	Ongoing
SODC							
VFM from CCTV Contract Arrangements 09/10	0.5	Satisfactory	5	4	0	0	1
Records Management 09/10	0.5	Limited	8	3	1	4	0
Temporary Accommodation 09/10	0.5	Satisfactory	6	4	0	0	2
Partnership Performance Monitoring 09/10	0.5	Satisfactory	6	3	0	1	2
Contract Monitoring 08/09	0.5	Satisfactory	4	3	1	0	0
Facilities Management 09/10	0.5	Full	1	1	0	0	0
Focus Group Payments 08/09	0.5	Limited	7	7	0	0	0
Cornerstones Membership 09/10	0.5	Satisfactory	4	4	0	0	0
VFM in Procurement 09/10	0.5	Satisfactory	9	3	0	6	0
Information Governance 09/10	0.5	Satisfactory	7	2	0	4	1
Stock Control 08/09	0.5	Satisfactory	12	12	0	0	0
Verification of National Indicators 09/10	0.5	Satisfactory	10	8	0	2	0
VWHDC							
VFM from CCTV Contract Arrangements 09/10	0.5	Satisfactory	11	7	0	1	3
Rent Accounting 09/10	0.5	Satisfactory	12	7	1	4	0
Lone Working/ Officer Security 09/10	0.5	Satisfactory	8	5	1	2	0
Contract Monitoring 08/09	0.5	Limited	5	2	0	3	0
Oxfordshire Waste Partnership 08/09	0.5	Satisfactory	5	4	1	0	0
Partnership Performance Monitoring 09/10	0.5	Satisfactory	6	3	0	1	2
Records Management 09/10	0.5	Limited	11	1	1	9	0
Corporate Administration 09/10	0.5	Satisfactory	6	4	0	1	1
Business Continuity 08/09	0.5	Satisfactory	10	7	0	3	0
VFM in Procurement 09/10	0.5	Limited	15	8	0	7	0
Information Governance 09/10	0.5	Satisfactory	6	1	0	4	1
Stock Control 08/09	0.5	Satisfactory	9	7	0	2	0
Verification of National Indicators 09/10	0.5	Satisfactory	8	7	0	1	0
IA FOLLOW UP 09/10 TOTALS	11.5		112	117	6	55	13
SODC FOLLOW UP 09/10 TOTALS	6.0		79	54	2	17	6
VWHDC FOLLOW UP 09/10 TOTALS	6.5		112	63	4	38	7

FOLLOW UP AUDITS 2010/2011

System Name	Total Days Used	Original Audit Opinion Issued	Total No. of Recs Agreed	Implemented	Partly Implemented	Not Implemented	Ongoing
SODC							
Cash Office 10/11	0.5	Satisfactory	3	2	1	0	0
VWHDC							
Handling of Postal Cash and Cheques 10/11	0.5	Limited	2	1	1	0	0
IA FOLLOW UP 10/11 TOTALS	1		5	3	2	0	0
SODC FOLLOW UP 10/11 TOTALS	0.5		3	2	1	0	0
VWHDC FOLLOW UP 10/11 TOTALS	0.5		2	1	1	0	0

UNPLANNED WORK 2010/2011

CONSULTANCY

System Name	Status	Audit Allocation	Total Days Used	Requested By
JOINT				
Sundry Debtors	Allocated to SD Audit	5	5.5	Steve Bishop, s151 Officer
SODC				
None	-	-	-	-
VWHDC				
None	-	-	-	-

CONTINGENCY

System Name	Status	Audit Allocation	Total Days Used	Requested By
JOINT				
None	-	-	-	-
SODC				
None	-	-	-	-
VWHDC				
DWP: Customer Information Systems, Breach of Security, Capita	Diciplinary Hearing resulted in dismissal of Capita Employee	5	6.5	Steve Bishop, s151 Officer
Defra Property Flood Protection: Resilience Grant Verification	Requested	1	1	Peter Dela, Principal Engineer
Anti Fraud & Corruption Whistleblowing Policy: Taxi Licensing Investigation	Requested	5	6	Margarat Reed, Head of Legal & Democratic Steve Bishop, s151 Officer

SYSTEM DEVELOPMENT

System Name	Status	Audit Allocation	Total Days Used	Requested By
JOINT				
None	-	-	-	-
SODC				
None	-	-	-	-
VWHDC				
None	-	-	-	-

IA UNPLANNED WORK TOTALS		16	19	
SODC UNPLANNED WORK TOTALS		5	5.5	
VWHDC UNPLANNED WORK TOTALS		11	13.5	

SODC TOTAL AUDIT WORK 252 days

VWHDC TOTAL AUDIT WORK 271.5 days